



**Exhibit 3B**

**Options Member Designated Give Up –  
Give Up Change Form**

Clearing Member Rejecting the Trade (Name/OCC#):

\_\_\_\_\_

By signing this form, the signatory represents that he/she is authorized by the Clearing Member to act on its behalf to reject the trade.

\_\_\_\_\_  
Name (print)

\_\_\_\_\_  
Title

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Phone

\_\_\_\_\_  
Email

**TRADE INFORMATION**

Executing (“give-up”) OCC Clearing Number: \_\_\_\_\_

CMTA (if applicable): \_\_\_\_\_

Option Symbol: \_\_\_\_\_

Expiration Date

(mm/dd/yy): \_\_\_\_\_

Buy/Sell: \_\_\_\_\_

Strike Price: \_\_\_\_\_

Call/Put \_\_\_\_\_

Volume: \_\_\_\_\_

Premium: \_\_\_\_\_

Trade Date: \_\_\_\_\_

Trade Time: \_\_\_\_\_

Executing Firm Info (Name and Firm ID If Known):

Reason for rejecting the trade:

\_\_\_\_\_

**The new Clearing Member for the above trade will be (please check one):**

The executing User’s Guarantor  
Guarantor’s Name and OCC#: \_\_\_\_\_

Another Clearing Member (“Accepting Clearing Member”)\*  
Accepting Clearing Member’s Name and OCC#: \_\_\_\_\_

\* The Accepting Clearing Member must complete and submit to EDGX Options Trade Desk the “Give-up Change Form for Accepting Clearing Member.”

**EDGX Options Trade Desk Processing (ONLY):**

Name: \_\_\_\_\_ Time: \_\_\_\_\_

Give-up and trade checked:

**Exhibit 3C**

**Options Member Designated Give Up –  
Give Up Change Form for Accepting Clearing Member**

Clearing Member Accepting the Trade (Name/OCC#):

\_\_\_\_\_

Please check the box if you agree to be the Give-up on the trade below.

By signing this form, the signatory represents that he/she is authorized by the Clearing Member to act on its behalf to accept the trade.

_____	_____
Name (print)	Title
_____	_____
Signature	Date
_____	_____
Phone	Email

**TRADE INFORMATION**

Executing (“give-up”) OCC Clearing Number: _____	Option
CMTA (if applicable): _____	Symbol: _____
Expiration Date (mm/dd/yy): _____	Buy/Sell: _____
Strike Price: _____	Call/Put _____
Volume: _____	Premium: _____
Trade Date: _____	Trade Time: _____

Executing Firm Info (Name and Firm ID If Known): \_\_\_\_\_